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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>		<b>Attny. Docket No. 2002B005</b>	
<b>In Re Application of:</b> Pang-Chia Lu			
<b>U.S. Serial No.</b> Not Assigned	<b>Filing Date</b> Herewith	<b>Examiner</b> Not Assigned	<b>Group Art Unit</b> Not Assigned

3C978 U.S. PRO  
10/074635  
02/13/02

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☐ This application is a:  
☐ Continuation/ Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

February 13, 2002  
Date

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**INFORMATION DISCLOSURE  
STATEMENT***(Use several sheets if necessary)*

ATTY. DOCKET NO.

2002B005

SERIAL NO.

Not Assigned

APPLICANT

Pang-Chia Lu

FILING DATE

Herewith

GROUP

Not Assigned

JC978 U.S. PRO  
10/07/02  
02/13/02

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3705076	12/5/72	Usala	161	189	
	3719629	3/6/73	Martin, et al.	260	33.2 EP	
	3753769	8/21/73	Steiner, et al.	117	122 H	
	4058645	11/15/77	Steiner, et al.	428	331	
	4214039	7/22/80	Steiner, et al.	428	414	
	4377616	3/22/83	Ashcraft, et al.	428	213	
	4536184	8/20/85	Ryan	8	508	
	4594211	6/10/86	Mohnhaupt	264	141	
	4632869	12/30/86	Park, et al.	428	315.5	
	4652489	3/24/87	Crass, et al.	428	337	
	4681803	7/21/87	Liu	428	348	
	4741950	5/3/88	Liu, et al.	428	315.5	
	4749616	6/7/88	Liu, et al.	428	331	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

**OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)**


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant

<b>INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO. 2002B005		SERIAL NO. Not Assigned	
				APPLICANT  Pang-Chia Lu			
				FILING DATE Herewith		GROUP Not Assigned	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4758396	7/19/88	Crass, et al.	264	145	
		4758462	7/19/88	Park, et al.	428	213	
		4894264	1/16/90	Akao, et al.	428	34.2	
		5176954	1/15/93	Keller, et al.	428	317.9	
		5328743	7/12/94	Wynne, et al.	428	105	
		5397635	3/14/95	Wood, Jr.	428	314.4	
		5662985	9/2/97	Jensen, et al.	428	195	
		5683805	11/4/97	Oita, et al.	428	343	
		5789123	8/4/98	Cleckner, et al.	430	18	
		5888648	3/30/99	Donovan, et al.	428	349	
		5891522	4/6/99	Olson	427	309	
		5891552	4/6/99	Lu, et al.	428	195	
		5894048	4/13/99	Eckart, et al.	428	339	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5972490	10/26/99	Crighton, et al.	428	315.5	
		5972496	10/27/99	Bader, et al.	428	331	
		5981079	11/9/99	Mount, III et al.	428	461	
		6004664	12/21/99	Sasaki, et al.	428	314.2	
		6013353	1/11/00	Touhsaent	428	203	
		6025059	2/15/00	McGee, et al.	428	219	
		6048608	4/11/00	Peet, et al.	428	315.5	
		6074762	6/13/00	Cretekos, et al.	428	516	
		6077602	6/20/00	Liestman, et al.	428	327	
		6310115	10/30/01	Vanmaele, et al.	522	25	
		6312078	11/6/01	Wen	347	12	
		6315405	11/13/01	Chen, et al.	347	106	
		09/757175	1/9/01	Lu, et al.			
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		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		09/828638	4/6/01	Touhsaent			
		09/864518	5/24/01	McGee			
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